Theory of Computer Science

C7. Context-Sensitive and Type-0 Languages

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Context-Sensitive and General Grammars

Repetition: (Context-Sensitive) Grammars

Definition (Grammar)

A grammar is a 4-tuple $\langle \Sigma, V, P, S \rangle$ with:

- Σ finite alphabet of terminal symbols
- V finite set of variables (with $V \cap \Sigma = \emptyset$)
- $P \subseteq (V \cup \Sigma)^+ \times (V \cup \Sigma)^*$ finite set of rules
- $S \in V$ start variable

Type 0 and type 1:

- Every grammar is type 0.
- A grammar is context-sensitive (type-1) if all rules $w_1 \to w_2$ satisfy $|w_1| \leq |w_2|$.
  - only exception: $S \to \varepsilon$ is allowed for the start symbol S if S never occurs on a right-hand side.

Turing Machines

Automata for Type-1 and Type-0 Languages?

Finite automata
accept exactly the regular languages,
push-down automata exactly the
context-free languages. Are there
automata models for context-sensitive
and type-0 languages?

Picture courtesy of imagerymajestic / FreeDigitalPhotos.net

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Yes! $\rightsquigarrow$ Turing machines

German: Turingmaschinen

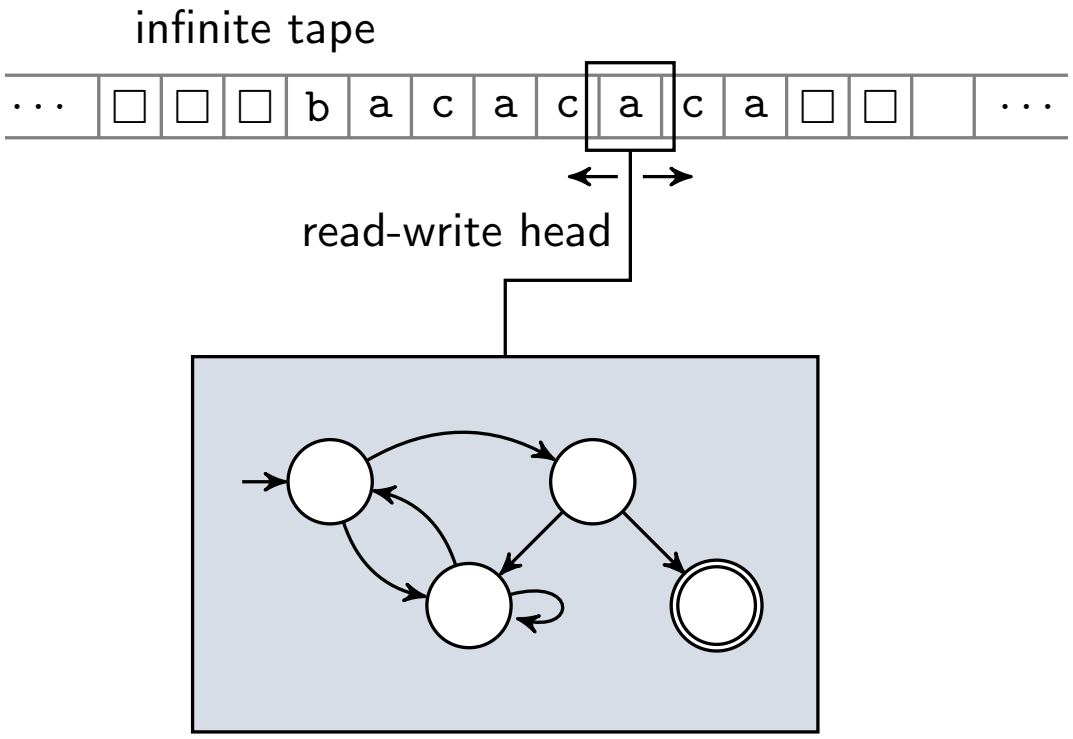
Picture courtesy of imagerymajestic / FreeDigitalPhotos.net

Alan Turing (1912–1954)

Picture courtesy of Jon Callas / wikimedia commons

- British logician, mathematician, cryptanalyst and computer scientist
- most important work (for us): On Computable Numbers, with an Application to the Entscheidungsproblem
  $\rightsquigarrow$ Turing machines
- collaboration on Enigma decryption
- conviction due to homosexuality; pardoned by Elizabeth II in Dec. 2013
- Turing award most important science award in computer science

Turing Machines: Conceptually



Nondeterministic Turing Machine: Definition

Definition (Nondeterministic Turing Machine)

A nondeterministic Turing machine (NTM) is given by a 7-tuple $M = \langle Q, \Sigma, \Gamma, \delta, q_0, \square, E \rangle$ with:

- Q finite non-empty set of states
- $\Sigma \neq \emptyset$ finite input alphabet
- $\Gamma \supset \Sigma$ finite tape alphabet
- $\delta : (Q \setminus E) \times \Gamma \to \mathcal{P}(Q \times \Gamma \times \{\mathrm{L}, \mathrm{R}, \mathrm{N}\})$ transition function
- $q_0 \in Q$ start state
- $\square \in \Gamma \setminus \Sigma$ blank symbol
- $E \subseteq Q$ end states

German: Turingmaschine, Zustände, Eingabealphabet, Bandalphabet, Übergangsfunktion, Startzustand, Blank-Symbol, Endzustände

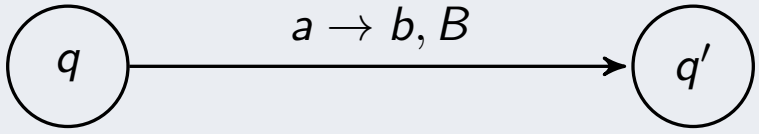
Turing Machine: Transition Function

Let $M = \langle Q, \Sigma, \Gamma, \delta, q_0, \square, E \rangle$ be an NTM.

What is the Intuitive Meaning of the Transition Function δ?

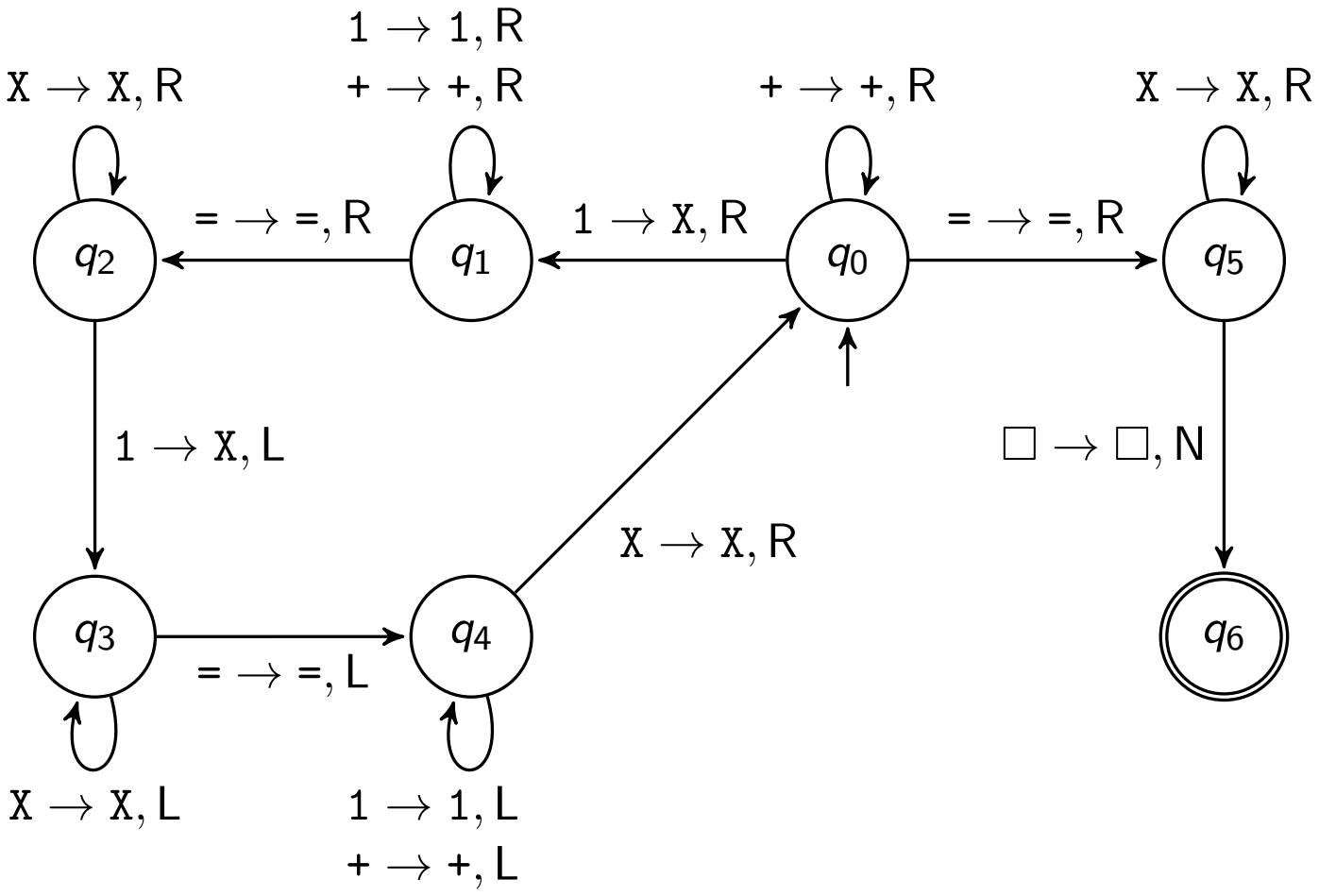
$\langle q', b, B \rangle \in \delta(q, a)$:

- If M is in state q and reads a, then
- M can transition to state q' in the next step,
- replacing a with b,
- and moving the head in direction $B \in \{\mathrm{L}, \mathrm{R}, \mathrm{N}\}$, where:
  - L: one step to the left,
  - R: one step to the right,
  - N: neutral (no) movement.



Nondeterministic Turing Machine: Example

$$M = \langle \{q_0, q_1, \ldots, q_6\}, \{1, +, =\}, \{1, +, =, \mathtt{X}, \square\}, \delta, q_0, \square, \{q_6\} \rangle$$



Turing Machine: Configuration

Definition (Configuration of a Turing Machine)

A configuration of a Turing machine $M = \langle Q, \Sigma, \Gamma, \delta, q_0, \square, E \rangle$ is given by a triple $c \in \Gamma^* \times Q \times \Gamma^+$.

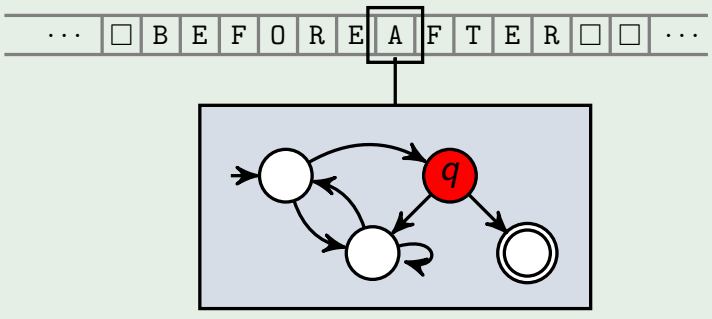
German: Konfiguration

Configuration $\langle w_1, q, w_2 \rangle$ intuitively means that

- the non-empty or already visited part of the tape contains the word $w_1 w_2$,
- the read-write head is on the first symbol of w_2, and
- the TM is in state q.

Turing Machine Configurations: Example

Example



configuration
$\langle \square\texttt{BEFORE}, q, \texttt{AFTER}\square\square \rangle$.

Turing Machine: Step

Definition (Transition/Step of a Turing Machine)

An NTM $M = \langle Q, \Sigma, \Gamma, \delta, q_0, \Box, E \rangle$ can transition from configuration c to configuration c' in one step ($c \vdash_M c'$) according to the following rules:

- $\langle a_1 \dots a_m, q, b_1 \dots b_n \rangle \vdash_M \langle a_1 \dots a_m, q', c b_2 \dots b_n \rangle$
  if $\langle q', c, \mathrm{N} \rangle \in \delta(q, b_1)$, $m \geq 0$, $n \geq 1$
- $\langle a_1 \dots a_m, q, b_1 \dots b_n \rangle \vdash_M \langle a_1 \dots a_{m-1}, q', a_m c b_2 \dots b_n \rangle$
  if $\langle q', c, \mathrm{L} \rangle \in \delta(q, b_1)$, $m \geq 1$, $n \geq 1$
- $\langle \varepsilon, q, b_1 \dots b_n \rangle \vdash_M \langle \varepsilon, q', \Box c b_2 \dots b_n \rangle$
  if $\langle q', c, \mathrm{L} \rangle \in \delta(q, b_1)$, $n \geq 1$
- $\langle a_1 \dots a_m, q, b_1 \dots b_n \rangle \vdash_M \langle a_1 \dots a_m c, q', b_2 \dots b_n \rangle$
  if $\langle q', c, \mathrm{R} \rangle \in \delta(q, b_1)$, $m \geq 0$, $n \geq 2$
- $\langle a_1 \dots a_m, q, b_1 \rangle \vdash_M \langle a_1 \dots a_m c, q', \Box \rangle$
  if $\langle q', c, \mathrm{R} \rangle \in \delta(q, b_1)$, $m \geq 0$

Turing Machines: Reachability of Configurations

Definition (Reachable Configuration)

Configuration c' is reachable from configuration c in NTM M ($c \vdash_M^* c'$) if there are configurations $c_0, \ldots, c_n$ ($n \geq 0$) where

- $c_0 = c$,
- $c_i \vdash_M c_{i+1}$ for all $i \in \{0, \ldots, n-1\}$, and
- $c_n = c'$.

German: c' ist in M von c erreichbar

Turing Machines: Recognized Words

Definition (Recognized Word of a Turing Machine)

NTM $M = \langle Q, \Sigma, \Gamma, \delta, q_0, \square, E \rangle$ recognizes the word $w = a_1 \dots a_n$ iff an accepting configuration (where M is in an end state) is reachable from the start configuration:

$$M \text{ recognizes } w \text{ iff } \langle \varepsilon, q_0, w \rangle \vdash_M^* \langle w_1, q, w_2 \rangle$$
$$\text{for some } q \in E, w_1 \in \Gamma^*, w_2 \in \Gamma^+$$

special case: for $w = \varepsilon$ the start configuration is $\langle \varepsilon, q_0, \square \rangle$ rather than $\langle \varepsilon, q_0, \varepsilon \rangle$

German: M erkennt w, akzeptierende Konfiguration, Startkonfiguration

example: blackboard

Turing Machines: Accepted Language

Definition (Accepted Language of an NTM)

Let M be an NTM with input alphabet Σ.

The language accepted by M is defined as

$$\mathcal{L}(M) = \{w \in \Sigma^* \mid M \text{ recognizes } w\}.$$

German: erkannte Sprache

example: blackboard

Questions

Questions?

Turing Machines vs. Grammars

One Automata Model for Two Grammar Types?

Don't we need
different automata models for
context-sensitive and type-0
languages?

Picture courtesy of stockimages / FreeDigitalPhotos.net

Linear Bounded Automata: Idea

- Linear bounded automata are NTMs that may only use the part of the tape occupied by the input word.
- one way of formalizing this: NTMs where blank symbol may never be replaced by a different symbol

Linear Bounded Turing Machines: Definition

Definition (Linear Bounded Automata)

An NTM $M = \langle Q, \Sigma, \Gamma, \delta, q_0, \square, E \rangle$
is called a linear bounded automaton (LBA)
if for all $q \in Q \setminus E$ and all transition rules $\langle q', c, y \rangle \in \delta(q, \square)$
we have $c = \square$.

German: linear beschränkte Turingmaschine

LBAs Accept Type-1 Languages

Theorem

The languages that can be accepted by linear bounded automata are exactly the context-sensitive (type-1) languages.

Without proof.

LBAs Accept Type-1 Languages

Theorem

The languages that can be accepted by linear bounded automata are exactly the context-sensitive (type-1) languages.

Without proof.

proof sketch for grammar $\Rightarrow$ NTM direction:

- computation of the NTM follows the production of the word in the grammar in opposite order
- accept when only start symbol (and blanks) are left on the tape
- because language is context-sensitive, we never need additional space on the tape (empty word needs special treatment)

NTMs Accept Type-0 Languages

Theorem

The languages that can be accepted by nondeterministic Turing machines are exactly the type-0 languages.

Without proof.

NTMs Accept Type-0 Languages

Theorem

The languages that can be accepted by nondeterministic Turing machines are exactly the type-0 languages.

Without proof.

proof sketch for grammar $\Rightarrow$ NTM direction:

- analogous to previous proof
- for grammar rules $w_1 \to w_2$ with $|w_1| > |w_2|$,
  we must "insert" symbols into the existing tape content;
  this is a bit tedious, but not very difficult

Questions

Questions?

Deterministic Turing Machines

Definition (Deterministic Turing Machine)

A deterministic Turing machine (DTM) is a Turing machine $M = \langle Q, \Sigma, \Gamma, \delta, q_0, \square, E \rangle$ with
$\delta : (Q \setminus E) \times \Gamma \to Q \times \Gamma \times \{\mathrm{L}, \mathrm{R}, \mathrm{N}\}$.

German: deterministische Turingmaschine

Deterministic Turing Machines vs. Type-0 Languages

Theorem

For every type-0 language L there is a deterministic Turing machine M with $\mathcal{L}(M) = L$.

Without proof.

Deterministic Turing Machines vs. Type-0 Languages

Theorem

For every type-0 language L there is a deterministic Turing machine M with $\mathcal{L}(M) = L$.

Without proof.

proof sketch:

- Let M' be an NTM with $\mathcal{L}(M') = L$.
- It is possible to construct a DTM that systematically searches for an accepting configuration in the computation tree of M'.

Note: It is an open problem whether an analogous theorem holds for type-1 languages and deterministic LBAs.

Closure Properties and Decidability

Closure Properties

	Intersection	Union	Complement	Product	Star
Type 3	Yes	Yes	Yes	Yes	Yes
Type 2	No	Yes	No	Yes	Yes
Type 1	Yes$^{(2)}$	Yes$^{(1)}$	Yes$^{(2)}$	Yes$^{(1)}$	Yes$^{(1)}$
Type 0	Yes$^{(2)}$	Yes$^{(1)}$	No$^{(3)}$	Yes$^{(1)}$	Yes$^{(1)}$

Proofs?

(1) proof via grammars, similar to context-free cases

(2) without proof

(3) proof in later chapters (part D)

Decidability

	Word problem	Emptiness problem	Equivalence problem	Intersection problem
Type 3	Yes	Yes	Yes	Yes
Type 2	Yes	Yes	No	No
Type 1	Yes$^{(1)}$	No$^{(3)}$	No$^{(2)}$	No$^{(2)}$
Type 0	No$^{(4)}$	No$^{(4)}$	No$^{(4)}$	No$^{(4)}$

Proofs?

(1) same argument we used for context-free languages

(2) because already undecidable for context-free languages

(3) without proof

(4) proofs in later chapters (part D)

Questions

Questions?

Summary

Summary

- Turing machines only have finitely many states
  but an unbounded tape as "memory".
- Turing machines accept exactly the type-0 languages.
  This is also true for deterministic Turing machines.
- Linear bounded automata accept exactly
  the context-sensitive languages.
- The context-sensitive and type-0 languages are closed
  under almost all usual operations.
  - exception: type-0 not closed under complement
- For context-sensitive and type-0 languages
  almost no problem is decidable.
  - exception: word problem for context-sensitive lang. decidable

What Next?

contents of this course:

- logic ✓
  - ▷ How can knowledge be represented?
    How can reasoning be automated?
- automata theory and formal languages
  - ▷ What is a computation?
- computability theory
  - ▷ What can be computed at all?
- complexity theory
  - ▷ What can be computed efficiently?

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