

# Foundations of Artificial Intelligence

## 46. AlphaGo and Outlook

Thomas Keller

Universität Basel

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# Board Games: Overview

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# Introduction

# Go

- more than 2500 years old
- considered the hardest classical board game
- played on  $19 \times 19$  board
- simple rules:
  - players alternately place a stone
  - surrounded stones are removed
  - player with more territory wins



# Monte-Carlo Methods in Go: Brief History

- 1993: Brügmann applies **Monte-Carlo methods** to Go
- 2006: **MoGo** of Gelly et al. is the first Go AI based on **Monte-Carlo Tree Search**
- 2008: Coulom's **CrazyStone** player beats 4 dan professional Kaori Aobai with handicap of 8 stones
- 2012: Ojima's **Zen** player beats 9 dan professional Takemiya Masaki with handicap of 4 stones
- 2015: **AlphaGo** beats the European Go champion Fan Hui, a 2 dan professional, 5-0
- 2016: AlphaGo beats one of the worlds best Go players, 9 dan professional Lee Sedol, with 4-1

# MCTS in AlphaGo

# MCTS in AlphaGo: Overview

- based on Monte-Carlo Tree Search
- search nodes annotated with:
  - utility estimate  $\hat{Q}(n)$
  - visit counter  $N(n)$
  - a constant **prior probability**  $p_0(n)$  from **SL policy network**

# MCTS in AlphaGo: Tree Policy

- selects successor  $n$  that maximizes  $\hat{Q}(n) + \hat{U}(n)$
- computes bonus term  $\hat{U}(n)$  for each node **proportionally to prior and number of visits** as  $\hat{U}(n) \propto \frac{p_0(n)}{1+N(n)}$

⇒ computes an **upper confidence bound** with a bonus term that resembles **Boltzmann exploration**



# MCTS in AlphaGo: Iteration Evaluation

- Utility of an iteration is made up of two parts:
  - the result of a simulation  $u_{\text{sim}}(n)$  with a default policy from a **rollout policy network**
  - a heuristic value  $h(n)$  from a **value network**
- combined via a **mixing parameter**  $\lambda \in [0, 1]$  by setting the utility of the iteration to

$$\lambda \cdot u_{\text{sim}}(n) + (1 - \lambda) \cdot h(n)$$

- mixing parameter in final version is  $\lambda = 0.5$ , which indicates that **both parts are important** for the playing strength

# MCTS in AlphaGo: Other

expansion phase:

- ignores restriction that unvisited successors must be created
- stores annotations **in the parent node**

final recommendation:

- return successor that has been **visited most often** rather than the one with highest utility estimate

# Neural Networks

# Neural Networks

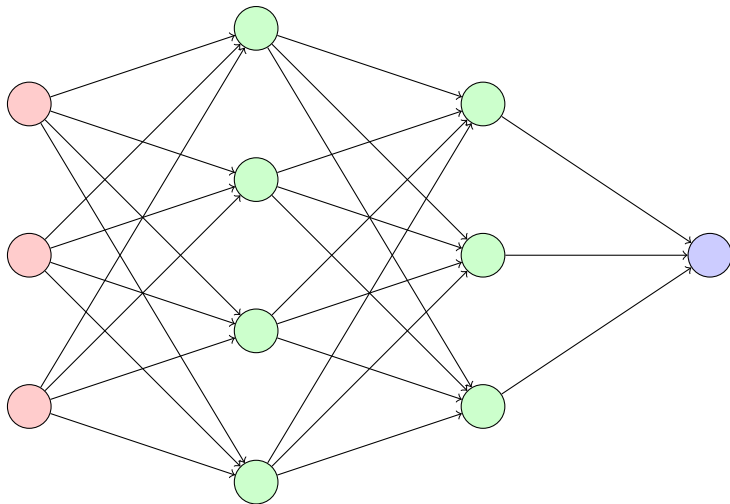
AlphaGo computes four neural networks:

- rollout policy network  
⇒ for **initialization**
- supervised learning (SL) policy network  
⇒ for **prior probabilities**
- reinforcement learning (RL) policy network  
(intermediate step only)
- value network  
⇒ for **initialization**

# Neural Network

- used to approximate an unknown function
- layered graph of three types of nodes:
  - input nodes
  - hidden nodes
  - output nodes
- iteratively learns function by adapting **weights** of connections between nodes

# Neural Networks: Example



input layer

1st hidden layer

2nd hidden layer

output layer

# SL Policy Network: Architecture

input nodes:

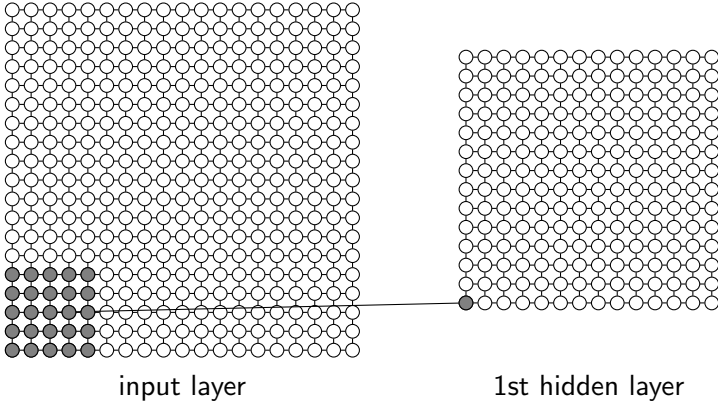
- the current **position**
- **move history**
- additional **features** (e.g., number of captured stones)

hidden layer:

- several **convolutional layers**:
  - **combine local information**
  - allow **less connections** between layers
  - weights are shared between connections of the same type
- final **linear softmax** layer
  - converts weights to **probabilities**

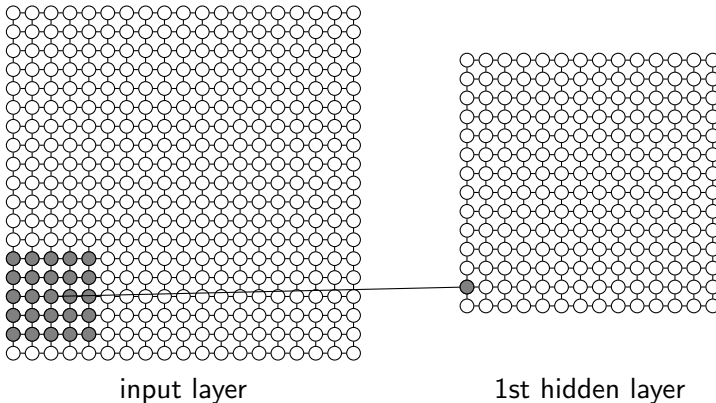
output nodes: a **probability distribution** over all legal moves

# SL Policy Network: Convolutional Layers





# SL Policy Network: Convolutional Layers



# SL Policy Network

- uses 30 million positions from strong human players on KGS
- uses **supervised learning**: the network learns to match given input to **given** output  
(i.e., the given position to the selected move)
- most **“human-like”** part of AlphaGo: aims to **replicate human choices**, not to win
- prediction accuracy: 57 %
- 3 ms per query

well-informed results with variance  $\Rightarrow$  good for **priors**

# Rollout Policy Network: Architecture

input nodes:

- only **small set of features** from small window around own and opponent's previous move
- does not look at the entire  $19 \times 19$  board

hidden layer: a single **linear softmax** layer

output nodes: a **probability distribution** over all legal moves

# Rollout Policy Network

- uses supervised learning with the same data as the SL policy network
- lower prediction accuracy: 24.2 %
- but allows fast queries: just 2  $\mu$ s  
(more than 1000 times faster than SL policy network)

reasonably informed yet cheap to compute

⇒ well-suited as **default policy**

# Value Network: RL Policy Network

first create sequence of RL policy networks with **reinforcement learning**

- **initialize** first RL policy network to SL policy network
- in each iteration, **pick a former RL policy network** uniformly at random  $\Rightarrow$  prevents overfitting to the current policy
- play with the current network against the picked one:
  - **compute the probability distribution** over all legal moves for the current state
  - **sample** a move according to the probabilities
  - **play** that move
  - repeat alternatingly until a final position is reached
- create new RL policy network by **updating weights** in the direction that maximizes expected outcome

# Value Network: Architecture

then transform RL policy network to value network

input nodes: same as in SL and RL policy network

hidden layer: similar to RL policy network

output node: **utility estimate** that approximates  $Q^*$   
⇒ the value network computes a heuristic

# Value Network

- using state-outcome pairs from KGS Server leads to **overfitting**
- using too many positions from same game introduce bias (not enough data to use only a few)
- create a **new dataset** with 30 million self-plays of standalone RL policy network and itself
- each game only introduces **a single state-outcome pair** into the new dataset
- only **minimal overfitting**
- slightly worse **win percentage** than using RL Policy Network as default policy
- but **15000 times faster**

very well informed and reasonably fast

⇒ good **heuristic**

# Summary



## Summary: This Chapter

- AlphaGo combines Monte-Carlo Tree Search with **neural networks**
- uses **priors** to guide selection strategy
- priors are learned from **human players**
- learns a reasonably informed yet **cheap to compute** default policy
- iterations are additionally evaluated with **utility estimates**, which are learned from humans and intensive self-play

## Summary: Board Games

- board games are a topic that has traditionally been important in AI research
- in most board games, computers are able to beat human experts
- **optimal strategy** can be computed with minimax
- $\alpha - \beta$  pruning often **speeds up minimax** significantly
- introduction of Monte-Carlo Tree Search led to **tremendous progress** in many games
- combination with **neural networks** allowed to beat a human professional in Go