

# Foundations of Artificial Intelligence

## 32. Propositional Logic: Local Search and Outlook

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32.1 Local Search: GSAT

32.2 Local Search: Walksat

32.3 How Difficult Is SAT?

32.4 Outlook

32.5 Summary

## Propositional Logic: Overview

Chapter overview: propositional logic

- ▶ 29. Basics
- ▶ 30. Reasoning and Resolution
- ▶ 31. DPLL Algorithm
- ▶ 32. Local Search and Outlook

## 32.1 Local Search: GSAT

## Local Search for SAT

- ▶ Apart from systematic search, there are also successful **local search methods** for SAT.
- ▶ These are usually not complete and in particular cannot prove **unsatisfiability** for a formula.
- ▶ They are often still interesting because they can find models for hard problems.
- ▶ However, all in all, DPLL-based methods have been more successful in recent years.

## Local Search for SAT: Ideas

local search methods directly applicable to SAT:

- ▶ **states**: (complete) assignments
- ▶ **goal states**: satisfying assignments
- ▶ **search neighborhood**: change assignment of **one** variable
- ▶ **heuristic**: depends on algorithm; e.g., #unsatisfied clauses

## GSAT (Greedy SAT): Pseudo-Code

auxiliary functions:

- ▶ **violated**( $\Delta, I$ ): number of clauses in  $\Delta$  not satisfied by  $I$
- ▶ **flip**( $I, v$ ): assignment that results from  $I$  when changing the valuation of proposition  $v$

**function** GSAT( $\Delta$ ):

**repeat** *max-tries* **times**:

$I :=$  a random assignment

**repeat** *max-flips* **times**:

**if**  $I \models \Delta$ :

**return**  $I$

$V_{\text{greedy}} :=$  the set of variables  $v$  occurring in  $\Delta$   
for which **violated**( $\Delta, \text{flip}(I, v)$ ) is minimal

randomly select  $v \in V_{\text{greedy}}$

$I := \text{flip}(I, v)$

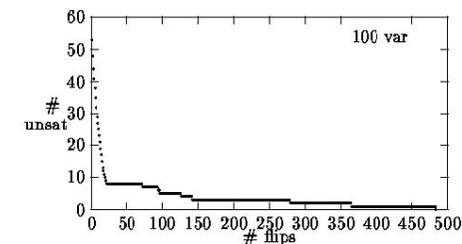
**return no solution found**

## GSAT: Discussion

GSAT has the usual ingredients of local search methods:

- ▶ hill climbing
- ▶ randomness (although **relatively little!**)
- ▶ restarts

empirically, much time is spent on plateaus:



## 32.2 Local Search: Walksat

## Walksat: Pseudo-Code

$\text{lost}(\Delta, I, v)$ : #clauses in  $\Delta$  satisfied by  $I$ , but not by  $\text{flip}(I, v)$

**function** Walksat( $\Delta$ ):

**repeat** *max-tries* times:

$I$  := a random assignment

**repeat** *max-flips* times:

**if**  $I \models \Delta$ :

**return**  $I$

$C$  := randomly chosen unsatisfied clause in  $\Delta$

**if** there is a variable  $v$  in  $C$  with  $\text{lost}(\Delta, I, v) = 0$ :

$V_{\text{choices}}$  := all such variables in  $C$

**else** with probability  $p_{\text{noise}}$ :

$V_{\text{choices}}$  := all variables occurring in  $C$

**else:**

$V_{\text{choices}}$  := variables  $v$  in  $C$  that minimize  $\text{lost}(\Delta, I, v)$

randomly select  $v \in V_{\text{choices}}$

$I$  :=  $\text{flip}(I, v)$

**return no solution found**

## Walksat vs. GSAT

Comparison GSAT vs. Walksat:

- ▶ much more randomness in Walksat  
because of random choice of considered clause
- ▶ “counter-intuitive” steps that temporarily increase  
the number of unsatisfied clauses are possible in Walksat
- ↔ smaller risk of getting stuck in local minima

## 32.3 How Difficult Is SAT?

## How Difficult is SAT in Practice?

- ▶ SAT is NP-complete.
- ↔ known algorithms like DPLL need exponential time in the worst case
- ▶ What about the **average case**?
- ▶ depends on **how** the average is computed (no “obvious” way to define the average)

## SAT: Polynomial Average Runtime

### Good News (Goldberg 1979)

construct random CNF formulas with  $n$  variables and  $k$  clauses as follows:

In every clause, every variable occurs

- ▶ positively with probability  $\frac{1}{3}$ ,
- ▶ negatively with probability  $\frac{1}{3}$ ,
- ▶ not at all with probability  $\frac{1}{3}$ .

Then the average runtime of DPLL in the average case is polynomial in  $n$  and  $k$ .

↔ not a realistic model for practically relevant CNF formulas (because almost all of the random formulas are satisfiable)

## Phase Transitions

How to find **interesting** random problems?

conjecture of Cheeseman et al.:

Cheeseman et al., IJCAI 1991

Every NP-complete problem has at least one **size parameter** such that the difficult instances are close to a **critical value** of this parameter.

This so-called **phase transition** separates two problem regions, e.g., an **over-constrained** and an **under-constrained** region.

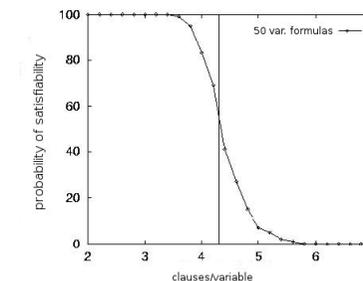
↔ confirmed for, e.g., graph coloring, Hamiltonian paths and **SAT**

## Phase Transitions for 3-SAT

Problem Model of Mitchell et al., AAI 1992

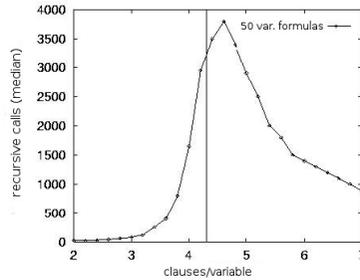
- ▶ fixed clause size of 3
- ▶ in every clause, choose the variables randomly
- ▶ literals positive or negative with equal probability

**critical parameter:** #clauses divided by #variables  
**phase transition** at ratio  $\approx 4.3$



## Phase Transition of DPLL

DPLL shows high runtime close to the phase transition region:



## Phase Transition: Intuitive Explanation

- ▶ If there are **many** clauses and hence the instance is unsatisfiable with high probability, this can be shown efficiently with unit propagation.
- ▶ If there are **few** clauses, there are many satisfying assignments, and it is easy to find one of them.
- ▶ Close to the **phase transition**, there are many “almost-solutions” that have to be considered by the search algorithm.

## 32.4 Outlook

## State of the Art

- ▶ research on SAT in general:  
↪ <http://www.satlive.org/>
- ▶ conferences on SAT since 1996 (annually since 2000)  
↪ <http://www.satisfiability.org/>
- ▶ competitions for SAT algorithms since 1992  
↪ <http://www.satcompetition.org/>
  - ▶ largest instances have more than 1 000 000 literals
  - ▶ different tracks (e.g., SAT vs. SAT+UNSAT; industrial vs. random instances)

## More Advanced Topics

### DPLL-based SAT algorithms:

- ▶ efficient implementation techniques
- ▶ accurate variable orders
- ▶ clause learning

### local search algorithms:

- ▶ efficient implementation techniques
- ▶ adaptive search methods (“difficult” clauses are recognized after some time, and then prioritized)

### SAT modulo theories:

- ▶ extension with background theories (e.g., real numbers, data structures, ...)

## 32.5 Summary

## Summary (1)

- ▶ **local search** for SAT searches in the space of interpretations; neighbors: assignments that differ only in one variable
- ▶ has typical properties of local search methods: evaluation functions, randomization, restarts
- ▶ example: **GSAT** (Greedy SAT)
  - ▶ hill climbing with heuristic function: #unsatisfied clauses
  - ▶ randomization through tie-breaking and restarts
- ▶ example: **Walksat**
  - ▶ focuses on **randomly selected** unsatisfied clauses
  - ▶ does not follow the heuristic always, but also **injects noise**
  - ▶ consequence: **more randomization** as GSAT and lower risk of getting stuck in local minima

## Summary (2)

- ▶ **more detailed analysis** of SAT shows: the problem is NP-complete, but not all instances are difficult
- ▶ randomly generated SAT instances are easy to satisfy if they contain few clauses, and easy to prove unsatisfiable if they contain many clauses
- ▶ in between: **phase transition**